



## **NOTICE TO ALL LENDING COMPANIES AND FINANCING COMPANIES**

### ***AML/CFT Risk Assessment of the Lending and Financing Sector***

The Securities and Exchange Commission (SEC) is conducting a risk assessment of the Lending Companies and Financing Companies to identify, assess and understand money laundering and terrorist financing (ML/TF) risks to which the sector is exposed and to implement the most appropriate mitigation measures.

For this purpose, the SEC is collecting data from relevant government agencies, law enforcement authorities and institutions in the lending and financing industry.

All lending companies and financing companies are enjoined to accomplish a Survey Questionnaire to assist the SEC in the conduct of the said AML/CFT Sectoral Risk Assessment. The Questionnaire will also guide the lending companies and financing companies that are covered persons in the conduct of their Institutional Risk Assessment, which is required to be undertaken under SEC Memorandum Circular No. 26, Series of 2020.

The Survey Questionnaire shall be accomplished and submitted online on or before **16 November 2020, 5:00PM**. Said Questionnaire may be accessed through the following link:

[https://docs.google.com/forms/d/e/1FAIpQLScBZ4FAA3HzuzaHErMbSeTXHBttWstY9h4L8ZG2xMVKPOAGQ/viewform?usp=pp\\_url](https://docs.google.com/forms/d/e/1FAIpQLScBZ4FAA3HzuzaHErMbSeTXHBttWstY9h4L8ZG2xMVKPOAGQ/viewform?usp=pp_url)

For compliance.